

UTILITY APPLICATION

Attorney Docket No.: MASIMO.381A

First Named Inventor: Christian Schulz

Title: PULSE OXIMETRY EAR SENSOR Express Mail Label No.: EV 308024947 US

Direct all correspondence to Customer No.: 20995

Date: July 31, 2003

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Mail Stop Patent Application

United States Patent and Trademark Office PO Box 1450

Alexandria, VA 22313-1450

The following information and enclosures are provided and transmitted herewith to be filed in the patent application of:

INVENTOR LIST:

- 1. Christian Schulz
- 2. Eugene Mason
- 3. Massi E. Kiani

DOMESTIC PRIORITY INFORMATION:

Application	Continuity Type	Provisional/ Parent App. No.	Filing Date	Status	
This Application	claims the benefit under 35 U.S.C. §119(e) of	60/412,281	09/21/02	Pending	
This Application	claims the benefit under 35 U.S.C. §119(e) of	60/410,499	09/14/02	Pending	

The entire disclosure of the prior applications is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

FILING FEES:

FEE CALCULATION											
FEE TYPE						FEE CODE	EE CODE CALCULATION			ON	TOTAL
Basic Utility						1001 (\$750)					\$750
Non-English Spec.						1053 (\$130)				***	\$0
Recordation Fee						8021 (\$40)	1	х	40	=	\$40
Excess Claims > 20	27	-	20	=	7	1202 (\$18)	7	х	18	=	\$126
Independent > 3	4	-	3	=	1	1201 (\$84)	1	Х	84	=	\$84
Multiple Claim			·			1203 (\$280)					\$0
<u> </u>							TO	ΓAL	FEE I	OUE	\$1,000



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ENCLOSED APPLICATION ELEMENTS:

1. Specification in 23 pages

- 2. Drawings in 23 sheets
- 3. Originally signed Declaration by Inventors in 2 pages
- 4. Recordation form cover sheet and Assignment in 3 total pages (cover sheet & assignment)
- 5. Power of attorney form and copy of assignment in 4 pages
- 6. Information Disclosure Statement in 1 page
- 7. PTO Form 1449 in 1 page listing four (4) references
- 8. A check in the amount of \$1,000 to cover the Total Fee Due
- 9. Return prepaid postcard

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The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.

John M. Grover

Registration No. 42,610

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MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Attorney Docket No.:

MASIMO.381A

Applicants

Christian Schulz, Eugene Mason,

Massi E. Kiani

For

PULSE OXIMETRY EAR SENSOR

Attorney

: John M. Grover

"Express Mail"

Mailing Label No. :

EV 308024947 US

Date of Deposit

July 31, 2003

I hereby certify that the accompanying

Transmittal Letter; Specification in 23 pages; 23 sheets of Drawings; Declaration by Inventor in 2 pages; Recordation Form Cover Sheet and Assignment in 3 pages; Power of Attorney by Assignee in 2 pages and Copy of Assignment; Information Disclosure Statement, PTO Form 1449 with 4 references; Check for Filing Fee; Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Nelson Merida

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